

**Introduction to Post's Correspondence Problem (PCP):**

- Let  $\Gamma$  be an alphabet such that  $|\Gamma| \geq 2$ .
- We are given a finite sequence of pairs of strings over  $\Gamma$ .  
I.e.  $(X_1, Y_1), (X_2, Y_2), \dots, (X_k, Y_k)$  where  $(X_i, Y_i) \in \Gamma^*$ .
- **Question:** Is there a finite sequence  $i_1, i_2, \dots, i_t \in \{1, \dots, k\}$  s.t.  $X_{i_1}X_{i_2}\dots X_{i_t} = Y_{i_1}Y_{i_2}\dots Y_{i_t}$ ?
- **Note:**  $i_1, i_2, \dots, i_t$  does not need to contain all indices and may contain some repeatedly.
- **Example 1:** Let  $\Gamma = \{0, 1\}$  and  $k = 4$ .

i	$X_i$	$Y_i$
1	11	111
2	101	0
3	10	01
4	0	01

This instance has a solution to the problem.

Consider the sequence 1, 2, 1.

$$X_1X_2X_1 = 1110111$$

$$Y_1Y_2Y_1 = 1110111$$

$$X_1X_2X_1 = Y_1Y_2Y_1$$

This is a solution to the problem.

**Note:** Solutions are not necessarily unique. Furthermore, you can have multiple solutions. You can take multiple repetitions of 1 sequence that gives a solution, and they would all be solutions.

I.e. Since 1,2,1 is a solution, then 1,2,1,1,2,1 is also a solution, and so on.

**Note:** The sequence 4, 2, 1, is also a solution to the problem.

$$X_4X_2X_1 = 010111$$

$$Y_4Y_2Y_1 = 010111$$

$$X_4X_2X_1 = Y_4Y_2Y_1$$

**Note:** The sequence 1, 3, 2, 1 is also a solution to the problem.

$$X_1X_3X_2X_1 = 111010111$$

$$Y_1Y_3Y_2Y_1 = 111010111$$

$$X_1X_3X_2X_1 = Y_1Y_3Y_2Y_1$$

- **Example 2:** Let  $\Gamma = \{0, 1\}$  and  $k = 4$ .

i	$X_i$	$Y_i$
1	11	111
2	101	1
3	10	01
4	0	01

This instance does not have a solution to the problem.

**Proof:**

First, we can never use the row  $i = 2$ . This is because when  $i = 1, 3, \text{ or } 5$ ,  $X_i$  and  $Y_i$  have the same number of 0's whereas in  $i = 2$ ,  $X_i$  and  $Y_i$  have a different number of 0's.  $X_2$  has one more 0 than  $Y_2$ . Hence, we can never get the same string if we use  $i = 2$ .

Second, by similar reasoning, we can never use the rows  $i = 1$  and  $i = 4$ . For  $i = 1$ ,  $Y_1$  has one more 1 than  $X_1$ . For  $i = 4$ ,  $Y_4$  has one more 1 than  $X_4$ . Hence, we can never get the same string if we use either of  $i = 1$  or  $i = 4$ .

Lastly, while the row  $i = 3$  has the same number of 0's and 1's for  $X_3$  and  $Y_3$ , their order is wrong. Hence, we can never get the same string if we use  $i = 3$ .

- **Theorem 5.7:** PCP is recognizable but not decidable.

**Proof that PCP is not decidable:**

We will prove that PCP is not decidable in 2 stages:

1. First, we will modify PCP. The modified version of PCP will be called MPCP. MPCP is the same as PCP except with the requirement that  $i_1 = 1$ .  
I.e. **Question:** Is there a finite sequence  $i_1, i_2, \dots, i_t \in \{1, \dots, k\}$  s.t.  $X_{i_1}X_{i_2}\dots X_{i_m} = Y_{i_1}Y_{i_2}\dots Y_{i_m}$  and  $i_1 = 1$ ?  
We will first prove that  $U \leq_m$  MPCP.
2. We will then prove that  $MPCP \leq_m$  PCP.

**Proof of 1:**

Given  $\langle M, x \rangle$  to  $U$ , construct an instance  $P$  of MPCP, s.t.  $M$  accepts  $x$  iff  $P$  has an MPCP solution.

Recall that an instance of PCP/MPCP is a finite sequence of pairs of strings over  $\Gamma$ .

I.e.  $(X_1, Y_1), (X_2, Y_2), \dots, (X_k, Y_k)$  where  $(X_i, Y_i) \in \Gamma^*$ .

A **partial solution** to  $P$  is a sequence  $i_1, i_2, \dots, i_m$  s.t.  $X_{i_1}X_{i_2}\dots X_{i_m}$  is a prefix of  $Y_{i_1}Y_{i_2}\dots Y_{i_m}$ .  
 $X_{i_1}X_{i_2}\dots X_{i_m}$  is referred to as the **top string**.

$Y_{i_1}Y_{i_2}\dots Y_{i_m}$  is referred to as the **bottom string**.

Intuitively: In a partial solution, the top and bottom strings will be sequences of configurations of computations of TM  $M$  on  $x$  where the configurations are separated by a special symbol,  $\#$ .

I.e. The configurations will look like this:  $\#C_0\#C_1\#\dots$

$C_0 = Q_0x$

Furthermore,  $C_i \xrightarrow{M} C_{i+1}$ .

I.e. We can go from  $C_i$  to  $C_{i+1}$  in 1 move.

Furthermore, the top string is going to be 1 configuration behind the bottom string until and if we reach the accept state,  $Q_a$ .

So, the partial solutions will look something like this:

Top String:  $\#C_0\#C_1\#\dots\#C_{t-1}\#$

Bottom String:  $\#C_0\#C_1\#\dots\#C_{t-1}\#C_t\#$

Here,  $C_t$  is the accept state.

Lastly, if and when the bottom string finally reaches  $Q_a$ , the top string will be allowed to catch up with the bottom string.

I will put pairs of strings in our instance of MPCP that will achieve the intuition behind the construction. These pairs of strings will be grouped and each group has a particular job.

Group 1:

Is the first pair and is  $(\#, \#Q_0x\#)$ .

The  $y$  value is the initial configuration of TM  $M$  on input  $x$ .

Top String:  $\#$

Bottom String:  $\#Q_0x\#$

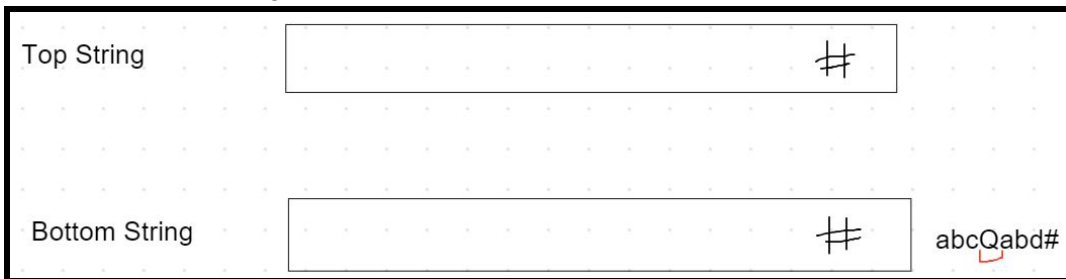
Group 2:

Is the second pair and will simultaneously copy portions of the last configuration in the bottom string to both the top and bottom strings.

Called "Copy Pairs".

It needs  $(a, a)$ ,  $a \in \Gamma$ , and  $(\#, \#)$ .

To understand what group 2 does, suppose I have a partial solution:



The items in the rectangles are the same, and the bottom string has the extra configuration  $abcQabd\#$ . Note that the  $Q_a$  doesn't mean the accept state in this case; it's just the state  $Q$  and the symbol  $a$ .

Now, we will copy parts of the configuration,  $ab\ bd\#$ , to the top string and the bottom string simultaneously. The reason that we can't copy the entire configuration, specifically the state and the symbol(s) surrounding it, is because the state could change the symbol and then it will move left/right.

I.e. In this case, we can't copy  $cQ_a$  because the symbol  $a$  might get changed and then the state will move left/right. All other parts of the configuration can be copied as is.

If the state doesn't change symbol a and just moves left/right, here's what will happen:

Move Left:  $cQa \rightarrow Qca$

Move Right:  $cQa \rightarrow caQ$

Group 3:

This group is used to copy the state and the symbol(s) surrounding it to the top and bottom strings.

$\forall a, a', b \in \Gamma$

This case is for if we're moving right and the symbol after the the state is not #.

It needs  $(qa, bp)$  if  $\delta(q, a) = (p, b, R)$ .

I.e. If we're at state q and reading symbol a, then change the a to b, go to state p and move 1 cell right.

I.e.  $xqay \rightarrow xbpay$

This case is for if we're moving right and the symbol after the the state is a #.

It needs  $(q\#, bp\#)$  if  $\delta(q, \sqcup) = (p, b, R)$ .

I.e. If we're at state q and the next symbol is the blank symbol, change it to b, go to state p and move right.

This case is for if we're moving left and the symbol before the state is not #.

It needs  $(a'qa, pa'b)$  if  $\delta(q, a) = (p, b, L)$ .

I.e. If we're at state q and reading symbol a, then change the a to b, go to state p and move 1 cell left.

I.e.  $x'a'qay \rightarrow x'pa'by$

This case is for if we're moving left and the symbol before the state is a #.

It needs  $(\#qa, \#pb)$  if  $\delta(q, a) = (p, b, L)$ .

I.e. If we're at state q and reading symbol a, then change the a to b and go to state p. Since the state is at the leftmost position, it can't go left, so we don't move.

This case is for if we're moving left and the symbol after the the state is a # and we're replacing  $\sqcup$  with a non-blank symbol.

It needs  $(a'q\#, pa'b\#)$  if  $\delta(q, \sqcup) = (p, b, L)$ .

I.e. If we're at state q and the next symbol is the blank symbol, change it to b, go to state p and move left.

**Note:** b is not the blank symbol. I.e.  $b \neq \sqcup$ .

This case is for if we're moving left and the symbol after the the state is a # and we're not replacing  $\sqcup$ . However, the symbol preceding the state is not a blank symbol.

It needs  $(a'q\#, pa'\#)$  if  $\delta(q, \sqcup) = (p, b, L)$ .

I.e. If we're at state q and the next symbol is the blank symbol, change it to b, go to state p and move left.

**Note:** b is the blank symbol. I.e.  $b = \sqcup$ .

**Note:** a' is not the blank symbol. I.e.  $a' \neq \sqcup$ .

This case is for if we're moving left and the symbol after the the state is a # and we're not replacing  $\sqcup$ . However, the symbol preceding the state is a blank symbol.

It needs  $(a'q\#, p\#)$  if  $\delta(q, \sqcup) = (p, \sqcup, L)$ .

I.e. If we're at state  $q$  and the next symbol is the blank symbol go to state  $p$  and move left. We don't change the blank symbol.

**Note:**  $b$  is the blank symbol. I.e.  $b = \sqcup$ .

**Note:**  $a'$  is the blank symbol. I.e.  $a' = \sqcup$ .

Group 4:

Allows the top string to catch up to the bottom string once the bottom string reaches the accept state,  $Q_a$ .

Called "Catching Up Pairs"

$(aQ_a, Q_a)$

$(Q_a a, Q_a)$

$\forall a \in \Gamma$

Here's what the catching up pairs does:

Given:

Top String:  $\#C_0\#C_1\#\dots\#C_{l-1}$

Bottom String:  $\#C_0\#C_1\#\dots\#C_{l-1}\#C_l\#$  where  $C_l$  is in the accept state.

Suppose that  $C_l = \#abQacd\#$

I.e. We have

Top String:  $\dots\#$

Bottom String:  $\dots\#abQacd$

Step 1:

We will use group 2 to copy  $a$  to both the top and bottom strings.

Top String:  $\dots\#a$

Bottom String:  $\dots\#abQacd\#a$

Step 2:

We will use group 4 to copy  $bQ_a$  to the top string and  $Q_a$  to the bottom string.

Top String:  $\dots\#abQ_a$

Bottom String:  $\dots\#abQacd\#aQ_a$

Step 3:

We will use group 2 to copy  $c$  to the top and bottom strings.

Top String:  $\dots\#abQac$

Bottom String:  $\dots\#abQacd\#aQac$

Step 4:

We will use group 2 to copy  $d$  to the top and bottom strings.

Top String:  $\dots\#abQacd$

Bottom String:  $\dots\#abQacd\#aQacd$

Step 5:

We will use group 2 to copy # to the top and bottom strings.

Top String: ...#abQacd#

Bottom String: ...#abQacd#aQacd#

Step 6:

We will use group 4 to copy aQa to the top string and Qa to the bottom string.

Top String: ...#abQacd#aQa

Bottom String: ...#abQacd#aQacd#Qa

Step 7:

We will use group 2 to copy c to the top and bottom strings.

Top String: ...#abQacd#aQac

Bottom String: ...#abQacd#aQacd#Qac

Step 8:

We will use group 2 to copy d to the top and bottom strings.

Top String: ...#abQacd#aQacd

Bottom String: ...#abQacd#aQacd#Qacd

Step 9:

We will use group 2 to copy # to the top and bottom strings.

Top String: ...#abQacd#aQacd#

Bottom String: ...#abQacd#aQacd#Qacd#

Step 10:

We will use group 4 to copy Qac to the top string and Qa to the bottom string.

Top String: ...#abQacd#aQacd#Qac

Bottom String: ...#abQacd#aQacd#Qacd#Qa

Step 11:

We will use group 2 to copy d to the top and bottom strings.

Top String: ...#abQacd#aQacd#Qacd

Bottom String: ...#abQacd#aQacd#Qacd#Qad

Step 12:

We will use group 2 to copy # to the top and bottom strings.

Top String: ...#abQacd#aQacd#Qacd#

Bottom String: ...#abQacd#aQacd#Qacd#Qad#

Step 13:

We will use group 4 to copy Qad to the top string and Qa to the bottom string.

Top String: ...#abQacd#aQacd#Qacd#Qad

Bottom String: ...#abQacd#aQacd#Qacd#Qad#Qa

Step 14:

We will use group 2 to copy # to the top and bottom strings.

Top String: ...#abQacd#aQacd#Qacd#Qad#

Bottom String: ...#abQacd#aQacd#Qacd#Qad#Qa#

Step 15:

We will use group 5 to copy Qa## to the top string and # to the bottom string.

Top String: ...#abQacd#aQacd#Qacd#Qad#Qa##

Bottom String: ...#abQacd#aQacd#Qacd#Qad#Qa##

Group 5:

Completes the matching.

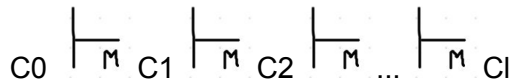
(Qa##,#)

Now, we need to verify that M accepts x iff P has an MPCP solution.

(=>)

If M accepts x

→ There is an accepting sequence of configurations:



where C<sub>0</sub> is the initial configuration and C<sub>l</sub> is the accepting configuration.

→ Can find a solution to MPCP where the top and bottom strings are the same and look like: #C<sub>0</sub>#C<sub>1</sub>#...#C<sub>l</sub>#...#Qa#.

From #C<sub>0</sub>#C<sub>1</sub>#...#C<sub>l</sub>#, we were using pairs in groups 1 - 3.

Afterwards, we were using pairs in groups 2, 4 & 5

(<=)

If an MPCP solution to P exists, the string corresponding to the solution must:

- Start with #Q<sub>0</sub>x#. This is because Group 1 puts that at the bottom.
- End with Qa##. This is because if it doesn't end with Qa##, the top string will have 1 less # than the bottom string. This is because the top string starts with 1 less # than the bottom string and every other time, the top string and bottom string has an equal number of #'s.

→ Use induction to prove an invariant:

1. The top and bottom strings in any proper partial solution have the form

Top: #C<sub>0</sub>#C<sub>1</sub>#C<sub>2</sub>#...#C'<sub>t-1</sub>

Bottom: #C<sub>0</sub>#C<sub>1</sub>#C<sub>2</sub>#...#C<sub>t-1</sub>#C'<sub>t</sub>

where C<sub>0</sub>, C<sub>1</sub>, ..., C<sub>t-1</sub> are configurations of M and C'<sub>t</sub> is a prefix of a configuration of M. C'<sub>t-1</sub> is a prefix of C<sub>t-1</sub>.

2. If the state in C<sub>t-1</sub> is not the accepting state, then C'<sub>t</sub> is a prefix of the



3. If the state in C<sub>t-1</sub> is the accepting state, then the state in C'<sub>t</sub>, if there is one, is also the accepting state.

→ The invariant implies that the string that corresponds to a solution to P is of the form  $\#C_0\#C_1\#C_2\#\dots\#C_l\#\dots\#Q_a\#\#\#$ , where  $C_i$  is the first configuration with an accept state

and  $\forall C_i \stackrel{M}{\vdash} C_{i+1}, 0 \leq i \leq l$ .

→ M accepts x.

**Proof of 2:**

Given an instance P of MPCP, construct an instance  $P^\circ$  of PCP s.t. P has an MPCP solution iff  $P^\circ$  has a PCP solution.

Recall that an instance of PCP/MPCP is a finite sequence of pairs of strings over  $\Gamma$ .

i.e.  $(X_1, Y_1), (X_2, Y_2), \dots, (X_k, Y_k)$  where  $(X_i, Y_i) \in \Gamma^*$ .

Let  $@, \$ \in \Gamma$  and  $@ \neq \$$ .

Given  $Z = a_1a_2\dots a_n$ , we define 2 strings,  $z^\circ$  and  ${}^\circ z$ .

$z^\circ = a_1@a_2@ \dots @a_n@$  and  ${}^\circ z = @a_1@a_2 \dots @a_n$

For each pair  $(X_i, Y_i)$  in P, we will define  $V_i = X_i^\circ$  and  $W_i = {}^\circ Y_i$  in  $P^\circ$ .

We will also add a 0<sup>th</sup> row in  $P^\circ$ , where  $V_0 = @V_1$  and  $W_0 = W_1$  and a row at the end in  $P^\circ$ ,  $V_{k+1}$  and  $W_{k+1}$ , where  $V_{k+1} = \$$  and  $W_{k+1} = @$$ .

Recall Example 1: Let  $\Gamma = \{0, 1\}$  and  $k = 4$ . This will be our P.

i	$X_i$	$Y_i$
1	11	111
2	101	0
3	10	01
4	0	01

Note that the sequence 1, 2, 1 was a solution to it. Hence, this is an instance of MPCP.

This will be our  $P^\circ$ .

i	$V_i$	$W_i$
0	@1@1@	@1@1@1
1	1@1@	@1@1@1
2	1@0@1@	@0
3	1@0@	@0@1
4	0@	@0@1
5	\$	@\$



Note that any matching you hope to achieve will have to start with  $V_0$  and  $W_0$ . Otherwise, the first symbol will always be different.

Similarly, any matching you hope to achieve will have to start with  $V_m$  and  $W_m$ . Otherwise, the last symbol will always be different.

If  $P$  has  $k$  pairs, then  $P^\circledast$  has  $k+2$  pairs. The two pairs are  $(V_0, W_0)$  and  $(V_{k+1}, W_{k+1})$ .

$P$  has an MPCP solution iff  $P^\circledast$  has a PCP solution.

( $\Rightarrow$ )

If  $P$  has an MPCP solution, then  $P^\circledast$  has a PCP solution.

Suppose that  $1, i_2, i_3, \dots, i_m$  is a MPCP solution to  $P$ .

Claim:  $0, i_2, i_3, i_m, i_{k+1}$  is a PCP solution to  $P^\circledast$ .

Since  $1, i_2, i_3, \dots, i_m$  is a MPCP solution to  $P$ , then  $X_1X_2X_3\dots X_{i_m} = Y_1Y_2Y_3\dots Y_{i_m}$ .

Furthermore,  $V_1V_2V_3\dots V_{i_m}$  is almost the same as  $W_1W_2W_3\dots W_{i_m}$ .

The differences are that the strings with  $V_i$  have a  $\circledast$  at the end and the strings with  $W_i$  have a  $\circledast$  at the beginning.

To make them equal, simply put a  $\circledast$  in the beginning of  $V_1V_2V_3\dots V_{i_m}$  and a  $\circledast$  at the end of  $W_1W_2W_3\dots W_{i_m}$ .

Now,  $\circledast V_1V_2V_3\dots V_{i_m} = W_1W_2W_3\dots W_{i_m} \circledast$ .

Recall that  $\circledast V_1 = V_0$ ,  $W_1 = W_0$ .

Furthermore, if we add a  $\$$  to the end of the strings with  $V_i$  and  $W_i$ , we get

$\circledast V_1V_2V_3\dots V_{i_m} \$$  and  $W_1W_2W_3\dots W_{i_m} \circledast \$$ . Recall that  $\$ = V_{k+1}$  and  $\circledast \$ = W_{k+1}$ .

Furthermore,  $\circledast V_1V_2V_3\dots V_{i_m} \$ = W_1W_2W_3\dots W_{i_m} \circledast \$$ .

Hence, we can substitute  $\circledast V_1$  for  $V_0$ ,  $\$$  for  $V_{k+1}$ ,  $W_1$  for  $W_0$  and  $\circledast \$$  for  $W_{k+1}$ .

Now, we have  $V_0V_2\dots V_{i_{k+1}} = W_0W_2\dots W_{k+1}$ .

Hence,  $0, i_2, i_3, i_m, i_{k+1}$  is a PCP solution to  $P^\circledast$ .

( $\Leftarrow$ )

If  $P^\circledast$  has a PCP solution, then  $P$  has an MPCP solution.

Suppose  $i_1, i_2, \dots, i_m, i_{m+1}$  is a PCP solution to  $P^\circledast$ .

It is obvious that  $i_1$  has to be 0 because otherwise, the two strings will start with different symbols.

0 is the only row where  $V_i$  and  $W_i$  start with the same symbol.

Similarly,  $i_{m+1}$  has to be  $k+1$ .

$k+1$  is the only row where  $V_i$  and  $W_i$  end with the same symbol.

So,  $0, i_2, \dots, i_{m+1}, k+1$  is a PCP solution to  $P^\circledast$ .

This means that  $V_0V_2V_3\dots V_{i_m}V_{k+1} = W_0W_2W_3\dots W_{i_m}W_{k+1}$ .

Recall that  $V_0 = \circledast V_1$ ,  $W_0 = W_1$ ,  $V_{k+1} = \$$  and  $W_{k+1} = \circledast \$$ .

If we drop all the  $\circledast$  and  $\$$  from  $V_i$  and  $W_i$ , we get back  $X_1X_2\dots X_{i_m}$  and  $Y_1Y_2\dots Y_{i_m}$ .

$X_1X_2\dots X_{i_m} = Y_1Y_2\dots Y_{i_m}$ .

Therefore,  $1, i_2, \dots, i_m$  is an MPCP solution to  $P$ .